PTO/SB/21 (02-04)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Date

April 20, 2005

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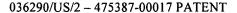
TRANSMITTAL	Filing Date	July 9, 2004						
FORM	First Named Inventor	Guillermo J. Tearney						
(to be used for all correspondence after in	tial filing) Art Unit	2878						
	Examiner Name	Patrick J. Connolly						
Total Number of Pages in This Submission	Attorney Docket Numb	er 036290/US/2 – 475387-00017						
	ENCLOSURES (Chec	(all that apply)						
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statemen Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Part under 37 CFR 1.52 or 1.5	Remarks	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information						
SIGI	IATURE OF APPLICANT, AT	TORNEY, OR AGENT						
Firm DORSEY & WHIT Gary Abelev, Esq	NEY, LLP (Reg No. 40,479)							
Signature								
Date April 20, 2005								
	CERTIFICATE OF TRANSM	SSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Gary Abelev, Esq.

Signature

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s)

: Guillermo J. Tearney et al.

Serial No.

: 10/501,268

Filed

July 9, 2004

Entitled

APPARATUS AND METHOD FOR LOW COHERENCE

RANGING (as amended)

Group Art Unit

2878

Examiner

Patrick J. Connolly

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 I hereby certify that this document is being sent via First Class U. S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this day of April 20, 2005.

(Signature)

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449, and respectfully request that the listed documents be considered by the Examiner and made of record in the above-captioned application. Copies of the United States patent references listed on the Form PTO-1449 are not enclosed, but the PCT/foreign references are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under

036290/US/2 - 475387-00017 PATENT

United States law, applicants reserve the right to present to the Office the relevant facts and law

regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the

patentability of the disclosed invention over the listed documents, should the documents be

applied against the claims of the present application.

This submission is being filed before any action by the U.S. Patent and

Trademark Office on the merits. Therefore, applicants do not believe that any fee is due in

connection with the submission of this paper. However, if any fee is due, or if any overpayment

has been made, the Commissioner is authorized to charge any such fee or credit any

overpayment, to our Deposit Account No. 50-2054.

Respectfully submitted,

DORSEY & WHITNEY, LLP

Gary Abelev

PTO Reg. No. 40,479

Attorneys for Applicants

(212) 415-9371

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(REV. 2-82) Pa	itent and Trademark Office	

Atty. Docket No. 036290/US/2 – 475387-17

Serial No. 10/501,268

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant(s)
Guillermo J. Tearney et al.

Filing Date July 9, 2004

Group 2878

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U.S. PATENT DOCUMENTS

(3)							·	J.S. 1	PATENT DOCUM	IENTS			
*Exam. Init.				Docun	nent N	0.			Date	Name	Cla	Subclass	Filing Date if Appropriate
		2	3	3	9	7	5	4	January 25, 1944	P.H. Brace			
		4	6	0	1	0	3	6	July 15, 1986	Faxvog et al			
		4	6	3	1	4	9	8	December 23, 1986	Cutler			<u> </u>
	, ,	4	8	6	8	8	3	4	September 19, 1989	Fox et al	†		
		4	9	2	5	3	0	2	May 15, 1990	Cutler	<u> </u>		
		4	9	9	3	8	3	4	February 19, 1991	Carlhoff et al			
		5	0	4	0	8	8	9	August 20, 1991	Keane			
		5	0	4	6	5	0	1	September 10, 1991	Crilly			
•		5	1	2	0	9	5	3	June 9, 1992	Harris			
		5	1	9	7	4	7	0	March 30, 1993	Helfer et al			
		5	2	9	3	8	7	2	March 15, 1994	Alfano et al			
		5	3	1	7	3	8	9	May 31, 1994	Hochberg et al			
·		5	3	2	1	5	0	1	June 14, 1994	Swanson et al			
		5	3	5	3	7	9	0	October 11, 1994	Jacques et al			
		5	3	8	3	4	6	7	January 24, 1995	Auer et al			
		5	4	1	9	3	2	3	May 30, 1995	Kittrell et al			
		5	4	3	9	0	0	0	August 8, 1995	Gunderson et al			
		5	4	4	1	0	5	3	August 15, 1995	Lodder et al			
		5	4	5	9	5	7	0	October 17, 1995	Swanson et al			
		5	4	6	5	1	4	7	November 7, 1995	Swanson			
		5	4	8	6	7	0	1	January 23, 1996	Norton et al			
		5	4	9	1	5	5	2	February 13, 1996	Kittrell			
•		5	5	6	2	1	0	0	October 8, 1996	Kittrell et al			
		5	5	9	0	6	6	0	January 7, 1997	MacAulay et al			
		5	6	0	1	0	8	7	February 11, 1997	Richards-Kortum et al			
		5	6	9	7	3	7	3	December 16, 1997	Gunderson et al			

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^{*} Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Atty. Docket No. 036290/US/2 – 475387-17

Serial No. 10/501,268

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Guillermo J. Tearney et al.

Filing Date July 9, 2004 Group 2878

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P.	RADEMARI	5	7	1	9	3	9	9	February 17, 1998	Alfano et al	
रहें।	RADE	5	7	4	8	5	9	8	May 5, 1998	Swanson et al	
		5	7	4	8	3	5	2	July 21, 1998	Swanson et al.	
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		5	8	4	0	0	2	3	November 24, 1998	Oraevsky et al	
		5	8	4	2	9	9	5	December 1, 1998	Mahadevan-Jansen et al	
		5	8	6	5	7	5	4	February 2, 1999	Sevick-Muraca et al	
		5	8	7	1	4	4	9	February 16, 1999	David Lloyd Brown	
		5	9	2	1	9	2	6	July 13, 1999	Rolland et al	
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		5	9	6	8	0	6	4	October 19, 1999	Selmon et al	
		5	9	8	7	3	4	6	November 16, 1999	Benaron et al	
·		5	9	9	4	6	9	0	November 30, 1999	Kulkarni et al	
		6	0	0	2	4	8	0	December 14, 1999	Izatt et al	
		6	0	0	6	1	2	8	December 21, 1999	Izatt et al	
		6	0	1	0	4	4	9	January 4, 2000	Selmon et al	
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		6	0	4	4	2	8	8	March 28, 2000	Wake et al	
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		6	0	6	9	6	9	8	May 30, 2000	Ozawa et al	
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		6	1	2	0	5	1	6	September 19, 2000	Selmon et al	
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Atty. Docket No. 036290/US/2 – 475387-17

Serial No. 10/501,268

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BY APPLICANT

Guillermo J. Tearney et al.

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Filing Date Group July 9, 2004 2878

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		6	1	5	1	5	2	2	November 21, 2000	Alfano et al		
		6	1	5	9	4	4	5	December 12, 2000	Klaveness et al		
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		6	1	7	5	6	6	9	January 16, 2001	Colston et al		
		6	1	8	5	2	7	1	February 6, 2001	Richard Estyn Kinsinger		
		6	1	9	1	8	6	2	February 20, 2001	Swanson et al		
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Guillermo J. Tearney et al.

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Applicant(s)

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	4	3	0	9	0	5	6	September 22, 1994	Germany			
	2	2	0	9	2	2	1	May 4, 1989	Great Britain			
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	0	2	5	1	0	6	2	January 7, 1988	European	1		
	9	2	1	9	9	3	0	November 12, 1992	WIPO			
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	9	7	3	2	1	8	2	September 4, 1997	WIPO			
	9	8	3	5	2	0	3	August 13, 1998	WIPO			
	9	9	4	4	0	8	9	September 2, 1999	WIPO			
	9	9	5	7	5	0	7	November 11, 1999	WIPO			
	0	0	5	8	7	6	6	October 5, 2000	WIPO			
	0	2	5	4	0	2	7	July 11, 2002	WIPO	1		

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"High-Speed Phase –and Group Delay Scanning with a Grating Based Phase Control Delay Line" by Tearney, et al., in Optics Letters, Vol. 22, Pages 1811-1813, December, 1997

"In Vivo Video Rate Optical Coherence Tomography" by Rollins, et al., in the Optics Express, Vol. 3, pages 219-229, September, 1998

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			Page 5 of 5							
	U.S. Department of Commerce atent and Trademark Office	Atty. Docket No. 036290/US/2 – 475387-17	Serial No. 10/501,268							
PE	TION DISCLOSURE STATEMENT BY APPLICANT	Applicant(s) Guillermo J. Tearney et al.								
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TATA RAUGH	Human Skin" by Saxer, et al., in the OSeptember. 2000 "3000 Times Grating Compress or with Martinez, in the IEEE, Vol. QE-23, passing Enhancement in Optical Coherence.	High Speed Fiber-Based Polarization-Sensitive Optical Coherence Tomography of ir Human Skin" by Saxer, et al., in the Optical Society of America, Vol. 25, pages 1355 Sentember. 2000 "3000 Times Grating Compress or with Positive Group Velocity Dispersion" by Osca Martinez, in the IEEE, Vol. QE-23, pages 59-64, January, 1987 "Image Enhancement in Optical Coherence Tomography Using Deconvolution" by K								
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